12-27-00 *A*

Please type a plus sign (+) inside this box →

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	03-DV-7050
First Inventor	Robert D. Sirois
Title	PERIPHERAL INTERLOCKS FOR STATOR CORES
Express Mail Label No.	EL319732884US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g.,PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Application claims small entity status.
See 37 CFR 1.27

3. ☒ Specification [Total Pages 11]
(Preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) *(35 USC 113)* [Total Sheets 7]

5. Oath or Declaration [Total Pages]

  a. ☐ Newly executed (original or copy)

  b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

  i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(If applicable, all necessary)*

  a. ☐ Computer Readable Form (CRF)

  b. Specification Sequence Listing on:
    i. ☐ CD-ROM or CD-R (2 copies); or
    ii. ☐ paper

  c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)* ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s) *(If foreign priority is claimed)*

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other: Certificate of Express Mail; Declaration and Power of Attorney (submitted for inventor information purposes only)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No.: _____/_____

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
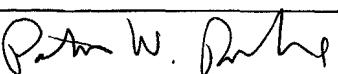
19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label   *(Insert Custom No. or Attach bar code label here)*   or ☒ Correspondence address below

Name	
	Armstrong Teasdale LLP
Address	One Metropolitan Sq., Suite 2600
City	St. Louis	State	Missouri	Zip Code	63102
Country	US	Telephone	(314) 621-5070	Fax	(314) 621-5065

Name (Print/type)	Patrick W. Rasche	Registration No. (Attorney/Agent)	37,916
Signature	*Patrick W. Rasche*	Date	December 22, 2000

Express Mail #: EL319732884US

PTO/SB/17 (12/99)
App___ __r use through 9/30/2000. OMB 0651-0032
Patent and Trade___ ___ffice: US DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small entity payments must be supported by a small entity
statement, otherwise large entity fees must be paid. See Forms
PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known	
Application Number	
Filing Date	
First Named Inventor	Robert D. Sirois
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT	($) 968.00	**Attorney Docket Number**	03-DV-7050

METHOD OF PAYMENT (check one)

1. [x] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **01-2384**

Deposit Account Name:

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[ ] Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2. [ ] Payment Enclosed:
   [ ] Check [ ] Money Order [ ] Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	710.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) 710.00

2. CLAIMS

		Extra		Fee from below	Fee Paid
Total Claims	21	- 20 =	1	x 18.00 =	18.00
Independent Claims	6	- 3 =	3	x 80.00 =	240.00
Multiple Dependent Claims				x =	

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	201	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 258.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

*Reduced by Basic Filing Fee Paid   SUBTOTAL (3) ($)

SUBMITTED BY

		Complete (if applicable)	
Typed or Printed Name	Patrick W. Rasche	Reg. Number	37,916
Signature	*[signature]*	Date	December 22, 2000	Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
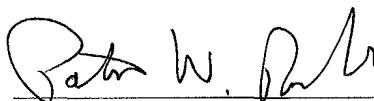THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: **EL319732884US**

Date of Mailing: **December 22, 2000**

I certify that the attached utility patent application of **ROBERT D. SIROIS** for **PERIPHERAL INTERLOCKS FOR STATOR CORES**, including:

- Six (6) pages of specification; four (4) pages of claims; one (1) page of abstract

- Declaration and Power of Attorney (2 pgs)(submitted for inventor identification purposes only)

- Seven (7) sheets of drawings

- Patent Application Transmittal (1 page)

- Fee Transmittal (in duplicate) (1 page)

- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Patrick W. Rasche, Reg. No. 37,916
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
      314/621-5070

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET